

Recovering piecewise smooth functions from nonuniform Fourier measurements

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Abstract In this paper, we consider the problem of reconstructing piecewise smooth functions to high accuracy from nonuniform samples of their Fourier transform. We use the framework of nonuniform generalized sampling (NUGS) to do this, and to ensure high accuracy we employ reconstruction spaces consisting of splines or (piecewise) polynomials. We analyze the relation between the dimension of the reconstruction space and the bandwidth of the nonuniform samples, and show that it is linear for splines and piecewise polynomials of fixed degree, and quadratic for piecewise polynomials of varying degree.

1 Introduction

In a number of applications, including Magnetic Resonance Imaging (MRI), electron microscopy and Synthetic Aperture Radar (SAR), measurements are collected nonuniformly in the Fourier domain. The corresponding sampling patterns may be highly irregular; for example, one may sample more densely at low frequencies and more sparsely in high frequency regimes. Standard tools for reconstruction from such data such as gridding [14] seek to compute approximations to the harmonic Fourier modes, which can be then further postprocessed by conventional filtering

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and/or edge detection algorithms. However, gridding methods are low order, and lead to both physical (e.g. Gibbs phenomena) and unphysical artefacts [18].

In this paper we consider high-order, artefact-free methods for the reconstruction of one-dimensional piecewise smooth functions. To do this, we use the recently-introduced tool of nonuniform generalized sampling (NUGS) [1]. NUGS is reconstruction framework for arbitrary nonuniform samples which allows one to tailor the reconstruction space to suit the function to be approximated. Critically, in NUGS the dimension of the reconstruction space, which we denote by T , is allowed to vary in relation to the *bandwidth* K of the samples. By doing so, one obtains a reconstruction which is numerically stable and quasi-optimal. Hence, if T is chosen appropriately for the given function – for example, a polynomial or spline space for smooth functions, or a piecewise polynomial space for piecewise smooth functions – one obtains a rapidly-convergent approximation.

The key issue prior to implementation is to determine such scaling. In principle, this depends on both the nature of the nonuniform samples *and* the choice of reconstruction space. In this paper we provide a general analysis which allows one to simultaneously determine such scaling for all possible nonuniform sampling schemes by scrutinizing two intrinsic quantities ζ and γ of the reconstruction space T , related to the maximal uniform growth of functions in T and the maximal growth of derivatives in T respectively. Provided these are known (as is the case for many choices of T), one can immediately estimate this scaling. As a particular consequence, for trigonometric polynomials, splines and piecewise algebraic polynomials (with fixed polynomial degree), we can show that this scaling is linear, and for piecewise algebraic polynomials with varying degree we show that it is quadratic. The asymptotic order of such estimates is provably optimal.

2 Nonuniform generalized sampling

Throughout we work in the space $H = L^2(0, 1)$ with its usual inner product $\langle \cdot, \cdot \rangle$ and norm $\|\cdot\|$. Define the Fourier transform by $\hat{f}(\omega) = \int_0^1 f(x)e^{-2\pi i\omega x} dx$ for $\omega \in \mathbb{R}$. We let $\{\Omega_N\}_{N \in \mathbb{N}}$ be a sequence of ordered nonuniform sampling points, i.e. $\Omega_N = \{\omega_{n,N}\}_{n=1}^N \subseteq \mathbb{R}$ where $-\infty < \omega_{1,N} < \omega_{2,N} < \dots < \omega_{N,N} < \infty$, and let $\{T_M\}_{M \in \mathbb{N}}$ be a sequence of finite-dimensional subspaces of H . We make the natural assumption that the sequence of orthogonal projections $\mathcal{P}_M = \mathcal{P}_{T_M} : H \rightarrow T_M$ converge strongly to the identity operator \mathcal{I} on H . That is, any function $f \in H$ can be approximated to arbitrary accuracy from T_M for sufficiently large M .

Our goal is the following: given the samples $\{\hat{f}(\omega_{n,N})\}_{n=1}^N$ compute an approximation $f_{N,M}$ to f from the subspace T_M . Proceeding as in [1], we do this via the following weighted least-squares:

$$f_{N,M} = \operatorname{argmin}_{g \in T_M} \sum_{n=1}^N \mu_{n,N} |\hat{f}(\omega_{n,N}) - \hat{g}(\omega_{n,N})|^2, \quad (1)$$

where $\mu_{n,N} \geq 0$ are appropriate weights (see later). As discussed in [1], the key is to choose M suitably small for a given N (or equivalently N suitably large for a given M) so that the approximation $\{\hat{f}(\omega_{n,N})\}_{n=1}^N \mapsto f_{N,M} \in \mathbf{T}_M$ is numerically stable and quasi-optimal. To this end, the following estimates were shown in [1]:

$$\|f - f_{N,M}\| \leq C(N, M) \inf_{g \in \mathbf{T}_M} \|f - g\|, \quad \|f_{N,M}\| \leq C(N, M) \|f\|, \quad \forall f \in \mathbf{H}, \quad (2)$$

where $C(N, M) = \sqrt{C_2(N, M)/C_1(N)}$ and $C_1(N, M)$ and $C_2(N)$ are the optimal constants in the inequalities

$$\begin{aligned} \sum_{n=1}^N \mu_{n,N} |\hat{f}(\omega_{n,N})|^2 &\geq C_1(N, M) \|f\|^2, \quad \forall f \in \mathbf{T}_M, \\ \sum_{n=1}^N \mu_{n,N} |\hat{f}(\omega_{n,N})|^2 &\leq C_2(N) \|f\|^2, \quad \forall f \in \mathbf{H}. \end{aligned}$$

In particular, $f_{N,M}$ exists uniquely for any $f \in \mathbf{H}$ if and only if $C_1(N, M) > 0$.

Remark 1. Recently, a number of other works have investigated the problem of high-order reconstructions from nonuniform Fourier data. In [9, 18] spectral projection techniques were used for this task, and a frame-theoretic approach was introduced in [10]. Recovering the Fourier transform to high accuracy was studied in [16], and in [8, 15] the problem of high-order edge detection was addressed. A more detailed discussion is beyond the scope of this paper. However, we note that the methods we consider in this paper based on NUGS can be shown to achieve optimal convergence rates amongst all stable, classically convergent algorithms [4, 6].

3 A sufficient condition for stability and quasi-optimality

To ensure that $C(N, M)$ is small and finite, and hence guarantee stability and quasi-optimality via (2), we first need the following density assumption:

Definition 1. The sequence $\{\Omega_N\}_{N \in \mathbb{N}}$ is uniformly δ -dense for some $0 < \delta < 1$ if: (i) there exists a sequence $\{K_N\}_N \subseteq [0, \infty)$ with $K_N \rightarrow \infty$ as $N \rightarrow \infty$ such that $\Omega_N \subseteq [-K_N, K_N]$, and (ii) for each N , the density condition $\max_{n=0, \dots, N} \{\omega_{n+1, N} - \omega_{n, N}\} \leq \delta$ holds, where $\omega_{0, N} = \omega_{N, N} - 2K_N$ and $\omega_{N+1, N} = \omega_{1, N} + 2K_N$.

This condition ensures that the sample points spread to fill the whole real line whilst remaining sufficiently dense.¹ We will commonly refer to the numbers K_N as the sampling *bandwidths*. Note that the δ -dense sample points can have arbitrary locations. In particular, the points $\{\omega_{n, N}\}_{n=1}^N$ are allowed to cluster arbitrarily. To compensate for this, we choose the weights $\mu_{n, N}$ in the least-squares (2) as follows:

¹ We remark in passing that the case of critical density $\delta = 1$ can also be addressed [1], but one cannot in general expect stable reconstruction for $\delta > 1$. See also [11, 12].

$$\mu_{n,N} = \frac{1}{2} (\omega_{n+1,N} - \omega_{n-1,N}), \quad n = 1, \dots, N. \quad (3)$$

With this to hand, we next define the z -residual of a finite-dimensional $T \subseteq H$:

$$E_T(M, z) = \sup \{ \|\hat{f}\|_{\mathbb{R} \setminus (-z, z)} : f \in T_M, \|f\| = 1 \}, \quad z \in (0, \infty).$$

Here $\|f\|_I = \sqrt{\int_I |f(x)|^2 dx}$ denotes the Euclidean norm over a set I .

Theorem 1 ([1]). *Let $\{\Omega_N\}_{N \in \mathbb{N}}$ be uniformly δ -dense, $\{T_M\}_{M \in \mathbb{N}}$ be a sequence of finite-dimensional subspaces and let $0 < \varepsilon < 1 - \delta$. Let $M, N \in \mathbb{N}$ be such that*

$$E_T(M, K_N - 1/2)^2 \leq \varepsilon(2 - \varepsilon), \quad (4)$$

then the reconstruction $f \mapsto f_{N,M}$ defined by (1) with weights given by (3) has constant $C(N, M)$ satisfying

$$C(N, M) \leq \frac{1 + \delta}{1 - \varepsilon - \delta}. \quad (5)$$

This theorem reinterprets the required scaling of M and N in terms of the z -residual $E(M, K_N - 1/2)$. Note that this residual is independent of the geometry of the sampling points, and depends solely on bandwidths K_N . Hence, provided (4) holds, one ensures stable, quasi-optimal recovery for *any* sequence of sample points $\{\Omega_N\}_{N \in \mathbb{N}}$ with the same parameters K_N .

Unsurprisingly, the behaviour of the z -residual depends completely on the choice of subspaces $\{T_M\}_{M \in \mathbb{N}}$. Whilst one can often derive estimates for this quantity using ad-hoc approaches for each particular choice of $\{T_M\}_{M \in \mathbb{N}}$ – for example, see [1, 5] for the case of wavelet spaces – it is useful to have a more unified technique to reduce the mathematical burden. We now present such an approach.

Definition 2 ([17]). Let U and V be closed subspaces of H with corresponding orthogonal projections \mathcal{P}_U and \mathcal{P}_V respectively. The gap between U and V is the quantity $G(U, V) = \|(\mathcal{I} - \mathcal{P}_U)\mathcal{P}_V\|$, where $\mathcal{I} : H \rightarrow H$ is the identity.

Lemma 1. *Let $\{T_M\}_{M \in \mathbb{N}}$ and $\{S_L\}_{L \in \mathbb{N}}$ be sequences of finite-dimensional subspaces of H . Then $E_T(M, z) \leq E_S(L, z) + G(S_L, T_M)$ for every $M, L \in \mathbb{N}$.*

Proof. Let $f \in T_M$, $\|f\| = 1$. Then

$$\begin{aligned} \|\hat{f}\|_{\mathbb{R} \setminus (-z, z)} &\leq \|\widehat{\mathcal{P}_{S_L} f}\|_{\mathbb{R} \setminus (-z, z)} + \|f - \mathcal{P}_{S_L} f\| \\ &\leq E_S(L, z) \|\mathcal{P}_{S_L} f\| + G(S_L, T_M) \|f\| \leq E_S(L, z) + G(S_L, T_M). \end{aligned}$$

This lemma implies the following: if the behaviour of z -residual $E_S(L, z)$ and the gap $G(S_L, T_M)$ are known, then one can immediately determine the required scaling of M with z to ensure that $E_T(M, z)$ satisfies (4). We now make the following choice for $\{S_L\}_{L \in \mathbb{N}}$ to allow us to exploit this lemma:

$$S_L = \{g \in H : g|_{[l/L, (l+1)/L]} \in \mathbb{P}_0, l = 0, \dots, L-1\}. \quad (6)$$

Here \mathbb{P}_0 is space of polynomials of degree zero. In [1], it was shown that there exists a constant $c_0(\varepsilon) > 0$ such that $E_S(L, z) \leq \varepsilon$ whenever $z \geq c_0(\varepsilon)L$. Therefore, according to Lemma 1, to estimate $E_T(M, z)$ we now only need to determine $G(S_L, T_M)$.

From now on, we let $0 < w_1 < \dots < w_k < 1$ be a fixed sequence of nodes, and define the space $H_w^1(0, 1) = \{f : f|_{(w_j, w_{j+1})} \in H^1(w_j, w_{j+1}), j = 0, \dots, k\}$ where $w_0 = 0, w_{k+1} = 1$ and $H^1(I)$ is the usual Sobolev space of functions on an interval I . By convention, if $k = 0$ then $H_w^1(0, 1) = H^1(0, 1)$.

Lemma 2. *Suppose that $T_M \subseteq H_w^1(0, 1)$ and let S_L be given by (6). If $L^{-1} \leq \eta = \min_{j=0, \dots, k} \{w_{j+1} - w_j\}$ then $G(S_L, T_M) \leq \sqrt{\gamma_M^2 / (\pi L)^2 + 4\zeta_M^2 / L}$, where*

$$\begin{aligned} \gamma_M &= \max_{j=0, \dots, k} \sup \left\{ \|f'\|_{(w_j, w_{j+1})} : f \in T_M, \|f\|_{(w_j, w_{j+1})} = 1 \right\}, \\ \zeta_M &= \max_{j=0, \dots, k} \sup \left\{ \|f\|_{\infty, (w_j, w_{j+1})} : f \in T_M, \|f\|_{(w_j, w_{j+1})} = 1 \right\}, \end{aligned}$$

and, if I is an interval, $\|f\|_I^2 = \int_I |f(x)|^2 dx$ and $\|f\|_{\infty, I} = \text{ess sup}_{x \in I} |f(x)|$. Moreover, if $k = 0$, i.e. $T_M \subseteq H^1(0, 1)$, then $G(S_L, T_M) \leq \gamma_M / (\pi L)$.

Proof. Since $L \geq 1/\eta$ there exist $l_j \in \mathbb{N}$ with $l_1 < l_2 < \dots < l_k$ such that $0 \leq Lw_j - l_j < 1$ for $j = 1, \dots, k$. For an interval $I \subseteq \mathbb{R}$, let us now write $f_I = \frac{1}{|I|} \int_I f$. Then

$$\|f - \mathcal{P}_{S_L} f\|^2 = \sum_{l=0}^{L-1} \int_{I_l} |f - f_{I_l}|^2 = \sum_{\substack{l=0 \\ l \neq l_1, \dots, l_k}}^{L-1} \int_{I_l} |f - f_{I_l}|^2 + \sum_{j=1}^k \int_{I_{l_j}} |f - f_{I_{l_j}}|^2,$$

where $I_l = [l/L, (l+1)/L)$. Since $f \in H^1(I_l)$ for $l \neq l_1, \dots, l_k$, an application of Poincaré's inequality gives that

$$\|f - \mathcal{P}_{S_L} f\|^2 \leq \frac{1}{(L\pi)^2} \sum_{\substack{l=0 \\ l \neq l_1, \dots, l_k}}^{L-1} \|f'\|_{I_l}^2 + \sum_{j=1}^k \int_{I_{l_j}} |f - f_{I_{l_j}}|^2. \quad (7)$$

We now consider the second term. Write $I_{l_j} = (l_j/L, w_j) \cup (w_j, (l_j+1)/L) = A_j \cup B_j$ and note that for an arbitrary interval I we have $\int_I |f - f_I|^2 = \|f\|_I^2 - |I| |f_I|^2$. Hence

$$\begin{aligned} \int_{I_{l_j}} |f - f_{I_{l_j}}|^2 &= \int_{A_j} |f - f_{A_j}|^2 + \int_{B_j} |f - f_{B_j}|^2 + \frac{|A_j||B_j|}{|A_j| + |B_j|} |f_{A_j} - f_{B_j}|^2 \\ &\leq \frac{1}{(\pi L)^2} \left(\|f'\|_{A_j}^2 + \|f'\|_{B_j}^2 \right) + \frac{2|A_j||B_j|}{|A_j| + |B_j|} \left(\|f\|_{\infty, A_j}^2 + \|f\|_{\infty, B_j}^2 \right), \end{aligned}$$

where in the final step we use Poincaré's inequality once more and the fact that f is H^1 within A_j and B_j . Since $|A_j|, |B_j| \leq L^{-1}$ and $|A_j| + |B_j| = |I_{l_j}| = L^{-1}$ we now get

$$\sum_{j=1}^k \int_{I_{l_j}} |f - f_{l_j}|^2 \leq \frac{1}{(\pi L)^2} \sum_{j=1}^k \left(\|f'\|_{A_j}^2 + \|f'\|_{B_j}^2 \right) + \frac{4}{L} \sum_{j=0}^k \|f\|_{\infty, (w_j, w_{j+1})}^2.$$

Combining this with (7) now gives that

$$\|f - \mathcal{P}_{S_L} f\|^2 \leq \left(\frac{\gamma_M}{L\pi} \right)^2 \sum_{j=0}^k \|f\|_{(w_j, w_{j+1})}^2 + \frac{4\zeta_M^2}{L} \sum_{j=0}^k \|f\|_{(w_j, w_{j+1})}^2.$$

Since $\|f\|^2 = \sum_{j=0}^k \|f\|_{(w_j, w_{j+1})}^2$ the result now follows.

This lemma provides the main result of this paper. Using it, we deduce that for any $\{\mathbb{T}_M\}_{M \in \mathbb{N}}$, the question of stable reconstruction now depends solely on the quantities γ_M and ζ_M , which are intrinsic properties of the subspaces completely unrelated to the sampling of the Fourier transform.

4 Examples

To illustrate this result, we end by presenting several examples.

Trigonometric polynomials. Functions f that are smooth and periodic can be approximated in finite-dimensional spaces of trigonometric polynomials $\mathbb{T}_M = \{\sum_{m=-M}^M a_m e^{2\pi i m x} : a_m \in \mathbb{C}\}$. If $f \in C^\infty(\mathbb{T})$, where $\mathbb{T} = [0, 1)$ is the unit torus, then the projection error $\|f - \mathcal{P}_{\mathbb{T}_M} f\|$ decay superalgebraically fast in M ; that is, faster than any power of M^{-1} . If f is also analytic then the error decays exponentially fast.

For this space, we have $\mathbb{T}_M \subseteq H^1(0, 1)$ and $\gamma_M \leq 2\pi M$ by Bernstein's inequality. Hence Theorem 1 and Lemmas 1 and 2 give that the reconstruction $f_{N,M}$ is stable and quasi-optimal provided M scales linearly with the sampling bandwidth K_N . This result extends a previous result of [4] to the case of arbitrary nonuniform samples. Note that this is the best scaling possible up to a constant: for an arbitrary sequence $\{\mathbb{T}_M\}_{M \in \mathbb{N}}$ with $\dim(\mathbb{T}_M) = M$ the scaling of M with K_N is at best linear [1].

Algebraic polynomials. Functions that are smooth but nonperiodic can be approximated by algebraic polynomials. If $\mathbb{T}_M = \mathbb{P}_M$ is the space of algebraic polynomials of degree at most M , then the projection error $\|f - \mathcal{P}_{\mathbb{T}_M} f\|$ decays superalgebraically fast in M whenever $f \in C^\infty[0, 1]$, and exponentially fast when f is analytic.

The classical Markov inequality for this space gives that $\gamma_M \leq \sqrt{2}M^2$, $\forall M \in \mathbb{N}$ [7]. Hence we deduce stability and quasi-optimality of the reconstruction, but only with the square-root scaling $M = \mathcal{O}(\sqrt{K_N})$, $N \rightarrow \infty$ (this result extends previous results [2, 3, 13] to the case of nonuniform Fourier samples). On the face of it, this scaling is unfortunate since it means the approximation accuracy of $f_{N,M}$ is limited to root-exponential in K_N , which is much slower than the exponential decay rate of the projection error. However, such scaling is the best possible: as shown in [6], any reconstruction algorithm (linear or nonlinear) that achieves faster than root-exponential accuracy for analytic functions must necessarily be unstable.

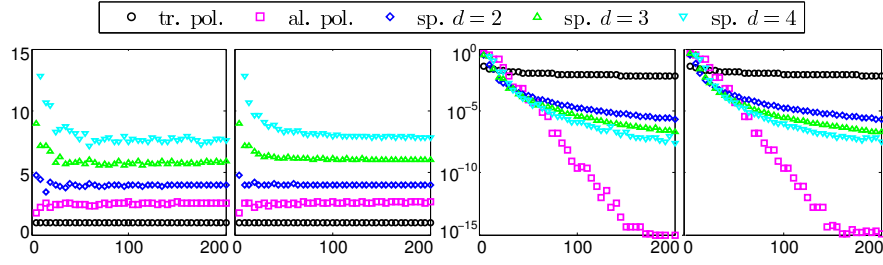


Fig. 1 In the first pair of panels, depending on the type of the reconstruction space, appropriate ratios are shown: M/K_N (for trigonometric polynomials), $M/\sqrt{K_N}$ (for algebraic polynomials) and Md^2/K_N (for splines of order d), where for a given $K_N \in [5, 200]$, we used $M = \max\{M \in \mathbb{N} : C(N, M) \leq 3\}$. In the second pair of panels, for such K_N and M , the error $\|f - f_{N,M}\|$ is plotted where $f(x) = x^2 + x \sin(4\pi x) - \exp(x/2) \cos(3\pi x)^2$. We used different sampling schemes Ω_N : jittered (for the first and third panel) and log (for the second and fourth panel).

Piecewise algebraic polynomials. There are two issues with the previous result. First, the space is not suitable for approximating piecewise smooth functions. Second, the scaling is severe. To mitigate both issues, we may consider spaces of piecewise polynomials on subintervals. In the first case, we fix the intervals corresponding to the discontinuities of the function, and vary the polynomial degree. In the second case, we vary the subinterval size whilst keeping the polynomial degree fixed.

Mathematically, both scenarios equate to considering the subspaces $\mathbb{T}_{w,M} = \{f \in \mathbb{H} : f|_{[w_j, w_{j+1}]} \in \mathbb{P}_{M_j}, j = 0, \dots, k\}$, where $w = \{w_1, \dots, w_k\}$ for $0 = w_0 < w_1 < \dots < w_k < w_{k+1} = 1$ and $M = \{M_0, \dots, M_k\} \in \mathbb{N}^{k+1}$. If f is piecewise smooth with jump discontinuities at known locations $0 = w_0 < w_1 < \dots < w_k < w_{k+1} = 1$ then the projection error decays superalgebraically fast in powers of $(M_{\min})^{-1}$ as M_{\min} increases, where $M_{\min} = \min\{M_0, \dots, M_k\}$, and exponentially fast if f is piecewise analytic. Alternatively, if f is smooth and the points w are varied whilst the degrees M are fixed, then the error decays like $h^{-M_{\min}-1}$, where $h = \max_{j=0, \dots, k} |w_{j+1} - w_j|$ and $M_{\min} = \min\{M_0, \dots, M_k\}$.

For analysis, we need to determine γ_M and ζ_M . For the first we use the scaled Markov inequality $\|p'\|_I \leq \sqrt{2}M^2/|I|\|p\|_I, \forall p \in \mathbb{P}_M, M \in \mathbb{N}$, where $|I|$ denotes the length of I . Hence, if $\eta = \min_{j=0, \dots, k} \{w_{j+1} - w_j\}$ then $\gamma_M \leq \sqrt{2}M_{\max}^2/\eta$. For ζ_M , we recall the following inequality for polynomials $\|p\|_{\infty, I} \leq cM/\sqrt{|I|}\|p\|_I, \forall p \in \mathbb{P}_M, M \in \mathbb{N}$, where $c > 0$ is a constant. Hence $\zeta_M \leq cM_{\max}/\sqrt{\eta}$. We therefore deduce the following sufficient condition: $M_{\max}^2/\eta = \mathcal{O}(K_N)$ as $N \rightarrow \infty$. In the first scenario, where η is fixed and M_{\max} is varied, we attain the same square-root-type scaling for piecewise smooth functions when approximated by piecewise polynomials as with the polynomial space of the previous example. In the second scenario, where M_{\max} is fixed and η is varied, we see that this leads to a linear relation between K_N and η . Thus, by forfeiting the superalgebraic/exponential convergence of the polynomial space for only algebraic convergence, we obtain a better scaling with K_N . Note that in some cases it may be desirable to approximate using functions that are themselves smooth (up to a finite order). In this case, we can replace $\mathbb{T}_{w,M}$ by the spline space $\tilde{\mathbb{T}}_{w, M_{\min}}$ of degree M_{\min} on the knot sequence w . Since $\tilde{\mathbb{T}}_{w, M_{\min}} \subseteq \mathbb{T}_{w, M}$ we obtain the same linear scaling with K_N in this case as well.

Numerical results. We demonstrate our results using two common nonuniform sampling schemes; jittered and log sampling (see [1] for details). In the first two panels of Fig. 1, we illustrate the scaling for different spaces T_M between the sampling bandwidth K_N and space dimension M such that $C(N, M)$ is bounded. For such K_N and M , in the second pair of panels, we compute the L^2 error of the approximation $f_{N,M}$ for a continuous function f . The superiority of the spline spaces for small N is evident, with the polynomial space becoming better as N increases.

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