

A systematic approach to model building

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Want to systematically find all the symmetries of an action,
→ even if symmetry is spontaneously broken,
→ also derive parameter relationships that give enhanced symmetries.

Overview:

- The Lie point symmetry (LPS) method.
- Example with 2 scalars.
- Automation.
- N interacting scalars.
- Spin-1 plus N scalars.
- Spontaneous symmetry breaking.
- The standard model.

The Lie point symmetry method

The Lie point symmetry method consists of finding the determining equations, whose solutions describe infinitesimal symmetries, and then solving these equations.

Point: transformations depend only on the coordinates and fields themselves, and not the derivatives of the fields.

- 1 Derive the determining equations of the system.
Equations for infinitesimal variations of the coordinates and fields.
- 2 Solve the determining equations, or at least reduce to standard form. Solution can branch depending on parameter values.
- 3 Compute the rank (number of generators) of the symmetry set(s).
- 4 Optional: compute the action of the symmetries.

Variation of the action

Lie point symmetries: $\eta^\mu(x, \phi)$, $\chi_i(x, \phi)$.

$$\begin{aligned}x^\mu &\rightarrow x^\mu + \eta^\mu & S &\rightarrow S + \delta S \text{ should be unchanged.} \\ \phi_i &\rightarrow \phi_i + \chi_i\end{aligned}$$

Solve for the fields \rightarrow Euler-Lagrange equations:

$$\frac{\partial \mathcal{L}}{\partial \phi_i} - \partial_\mu \left(\frac{\partial \mathcal{L}}{\partial (\partial_\mu \phi_i)} \right) = 0.$$

Solve for the infinitesimals \rightarrow master determining equation:

$$\mathcal{L} \frac{d\eta^\mu}{dx^\mu} + \frac{\partial \mathcal{L}}{\partial x^\mu} \eta^\mu + \frac{\partial \mathcal{L}}{\partial \phi_i} \chi_i + \frac{\partial \mathcal{L}}{\partial (\partial_\mu \phi_i)} \left(\frac{d\chi_i}{dx^\mu} - \frac{\partial \phi_i}{\partial x^\nu} \frac{d\eta^\nu}{dx^\mu} \right) = 0$$

Total derivative: $\frac{d}{dx^\mu} \equiv \frac{\partial}{\partial x^\mu} + \frac{\partial \phi_i}{\partial x^\mu} \frac{\partial}{\partial \phi_i}$.

Example: two scalars

No coordinates symmetries, $\phi_i \rightarrow \phi_i + \chi_i(\phi_i)$.

Master determining equation:

$$\frac{\partial \mathcal{L}}{\partial \phi_i} \chi_i + \frac{\partial \mathcal{L}}{\partial(\partial_\mu \phi_i)} \frac{\partial \phi_j}{\partial x^\mu} \frac{\partial \chi_i}{\partial \phi_j} = 0.$$

Apply to Lagrangian

$$\mathcal{L} = \frac{1}{2} \partial^\mu \phi_1 \partial_\mu \phi_1 + \frac{1}{2} \partial^\mu \phi_2 \partial_\mu \phi_2 - \frac{1}{2} m_1^2 \phi_1^2 - \frac{1}{2} m_2^2 \phi_2^2.$$

Determining equation is

$$\begin{aligned} & -m_1^2 \phi_1 \chi_1 - m_2^2 \phi_2 \chi_2 + \partial^\mu \phi_1 \partial_\mu \phi_1 \frac{\partial \chi_1}{\partial \phi_1} \\ & + \partial^\mu \phi_1 \partial_\mu \phi_2 \frac{\partial \chi_1}{\partial \phi_2} + \partial^\mu \phi_2 \partial_\mu \phi_1 \frac{\partial \chi_2}{\partial \phi_1} + \partial^\mu \phi_2 \partial_\mu \phi_2 \frac{\partial \chi_2}{\partial \phi_2} = 0. \end{aligned}$$

Equate independent terms to zero:

$$-m_1^2 \phi_1 \chi_1 - m_2^2 \phi_2 \chi_2 = 0, \quad \frac{\partial \chi_1}{\partial \phi_1} = 0, \quad \frac{\partial \chi_1}{\partial \phi_2} + \frac{\partial \chi_2}{\partial \phi_1} = 0, \quad \frac{\partial \chi_2}{\partial \phi_2} = 0.$$

Example: two scalars

Determining equations:

$$-m_1^2\phi_1\chi_1 - m_2^2\phi_2\chi_2 = 0, \quad \frac{\partial\chi_1}{\partial\phi_1} = 0, \quad \frac{\partial\chi_1}{\partial\phi_2} + \frac{\partial\chi_2}{\partial\phi_1} = 0, \quad \frac{\partial\chi_2}{\partial\phi_2} = 0.$$

General solution to last three equations:

$$\chi_1(\phi_2) = \alpha_1 + \beta\phi_2, \quad \chi_2(\phi_1) = \alpha_2 - \beta\phi_1.$$

Maximum rank $R = 3$. Symmetries:

- α_1 : shift of ϕ_1 .
- α_2 : shift of ϕ_2 .
- β : rotation between ϕ_1 and ϕ_2 .

Final determining equation is

$$\alpha_1 m_1^2 \phi_1 + \alpha_2 m_2^2 \phi_2 + \beta(m_1^2 - m_2^2)\phi_1\phi_2 = 0.$$

→ *the model parameters dictate the symmetries.*

Automation of LPS method

Two (massive) scalars have algebraic determining equation

$$\alpha_1 m_1^2 \phi_1 + \alpha_2 m_2^2 \phi_2 + \beta(m_1^2 - m_2^2) \phi_1 \phi_2 = 0.$$

Gaussian elimination (with branching) to find null space of

$$\begin{pmatrix} m_1^2 & 0 & 0 \\ 0 & m_2^2 & 0 \\ 0 & 0 & m_1^2 - m_2^2 \end{pmatrix} \begin{pmatrix} \alpha_1 \\ \alpha_2 \\ \beta \end{pmatrix} = 0.$$

Differential equations \rightarrow generalised Gaussian elimination.

Define ordering on η^μ and χ_i . Sort terms. Arrange as rows.

Perform “row reduction” to “diagonal” form.

$$\begin{aligned} c_1(\lambda_i) \partial_i f + X_1(f) &= 0, \\ c_2(\lambda_i) \partial_{i+j} f + X_2(f) &= 0. \end{aligned}$$

- $c_1(\lambda_i) = 0$: remove $\partial_i f$ term.
- $c_1(\lambda_i) \neq 0$: use $\partial_i f$ to eliminate $\partial_{i+j} f$.

N interacting scalar fields

Symmetries dictated by structure of interactions between fields.

General Lagrangian for N spin-0 fields

$$\mathcal{L} = T_{ij} \partial^\mu \phi_i \partial_\mu \phi_j - V(\phi) .$$

Determining equations are

$$V \partial_\mu \eta^\mu + \frac{\partial V}{\partial \phi_i} \chi_i = 0 ,$$

$$\partial^\mu \chi_i - V \frac{\partial \eta^\mu}{\partial \phi_i} = 0 \quad \forall \mu \forall i ,$$

$$\partial^\mu \eta^\nu + \partial^\nu \eta^\mu = 0 \quad \forall \mu \forall \nu, \mu \neq \nu ,$$

$$\frac{\partial \chi_i}{\partial \phi_j} + \frac{\partial \chi_j}{\partial \phi_i} = 0 \quad \forall i \forall j, i \neq j ,$$

$$\frac{1}{2} \partial_\sigma \eta^\sigma - \partial_{\bar{\mu}} \eta^{\bar{\mu}} + \frac{\partial \chi_{\bar{i}}}{\partial \phi_{\bar{i}}} = 0 \quad \forall \bar{\mu} \forall \bar{i} ,$$

$$\frac{\partial \eta^\mu}{\partial \phi_i} = 0 \quad \forall \mu \forall i .$$

N interacting scalar fields

Recall general Lagrangian

$$\mathcal{L} = \frac{1}{2} \partial^\mu \phi_i \partial_\mu \phi_i - V(\phi).$$

For $D \neq 2$ the general coordinate symmetries are ($b^{\mu\nu}$ anti-symm)

$$\eta^\mu(x) = a^\mu + b^\mu{}_\nu x^\nu - \frac{2\gamma}{D-2} x^\mu.$$

General field symmetries are (β_{ij} anti-symm)

$$\chi_i(\phi) = \alpha_i + \beta_{ij} \phi_j + \gamma \phi_i.$$

Remaining determining equation is

$$-\frac{2D}{D-2} \gamma V + \frac{\partial V}{\partial \phi_i} (\alpha_i + \beta_{ij} \phi_j + \gamma \phi_i) = 0.$$

Form of $V \leftrightarrow$ allowed symmetries.

$$\mathcal{L} = -\frac{1}{2}\partial^\mu\phi_i\partial_\mu\phi_i - \frac{1}{4}F^{\mu\nu}F_{\mu\nu} + J_i A^\mu\partial_\mu\phi_i + K_{ij}A^\mu\phi_i\partial_\mu\phi_j - V(\phi, A^2)$$

Point symmetries (in addition to coordinates):

$$\phi_i \rightarrow \phi_i + \chi_i(x, \phi, A), \quad A^\mu \rightarrow A^\mu + \xi^\mu(x, \phi, A)$$

General solution:

$$\begin{aligned}\chi_i(x, \phi) &= \alpha_i(x) + \beta_{ij}(x)\phi_j + \gamma(x)\phi_i \\ \xi^\mu(x, \phi) &= \partial^\mu\Lambda(x) + \pi^\mu{}_\nu(x)A^\nu + \gamma(x)A^\mu\end{aligned}$$

Massive U(1): when solving rest of determining equations, demand:

- gauge symmetry: $\Lambda(x)$ is arbitrary,
- massive vector: $\frac{\partial V}{\partial A^\mu} = m^2 A_\mu + \dots$

→ derive allowed form of \mathcal{L} and relations between parameters.

1 field: Stückelberg ($J = m$), 2 fields: Higgs.

Spontaneously broken symmetries

Spontaneously broken scale symmetry:

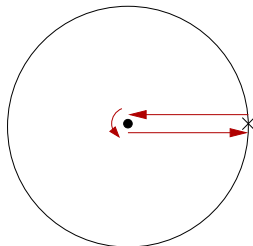
$$V = \lambda\phi^4 \text{ has scale symmetry.}$$

$$V = \lambda(\phi + v)^4 \text{ has shift-scale-shift symmetry.}$$

$$V = \lambda(\phi_1^2 + \phi_2^2 - v^2)^2 \text{ has U(1).}$$

Define $\phi_2 = v + \varphi$.

$$V = \lambda(\phi_1^2 + \varphi^2 + 2v\varphi)^2 \text{ has shift-U(1)-shift.}$$



LPS method will find symmetry, no matter how broken/hidden it may be.

For example, solve for relationships between c_i in

$$V = c_1 + c_2\phi_1 + c_3\phi_2 + c_4\phi_1^2 + c_5\phi_1\phi_2 + c_6\phi_2^2 + c_7\phi_1^3 + c_8\phi_1^2\phi_2 + c_9\phi_1\phi_2^2 + c_{10}\phi_2^3 + c_{11}\phi_1^4 + c_{12}\phi_1^3\phi_2 + c_{13}\phi_1^2\phi_2^2 + c_{14}\phi_1\phi_2^3 + c_{15}\phi_2^4.$$

Schematic structure of the standard model:

$$\mathcal{L}_{\text{SM}} \sim (\partial\phi)^2 + \phi^2\partial\phi + \phi^2 + \phi^4 + \psi\partial\psi + \phi\psi^2 .$$

- $N = 244$ real degrees of freedom (with RH neutrinos and Higgs).
- About 10^7 terms in \mathcal{L}_{SM} .
- Maximum number of determining equations: 2.5×10^6 (but many are duplicated, and many are single term).

Apply the LPS method:

- Rediscover Higgs mechanism.
- Find all symmetries and prove that there are no more.
- Add new degrees of freedom looking for new symmetries (e.g. GUT).

Coordinate variation η^μ , field variation χ_i .

Master determining equation:

$$\mathcal{L} \frac{d\eta^\mu}{dx^\mu} + \frac{\partial \mathcal{L}}{\partial x^\mu} \eta^\mu + \frac{\partial \mathcal{L}}{\partial \phi_i} \chi_i + \frac{\partial \mathcal{L}}{\partial (\partial_\mu \phi_i)} \left(\frac{d\chi_i}{dx^\mu} - \frac{\partial \phi_i}{\partial x^\nu} \frac{d\eta^\nu}{dx^\mu} \right) = 0$$

The Lie point symmetry method:

- Counterpart to the Euler-Lagrange equations.
- Finds all possible symmetries.
- Finds all interesting relationships between parameters.
- Works even for spontaneously broken symmetries.
- Can be automated; crucial for large systems.

Future work:

- Find all symmetries of the standard model.
- Adapt to include surface terms.
- Extend to supersymmetry [Grundland, Hariton, Snobl (2008)].
- Allow for discrete symmetries [Hydon (1998)].

Text book:

- Olver, *Applications of Lie Groups to Differential Equations*, 1986.

Reduction to standard form:

- Reid, J. Phys. A: Math. and General, 23 (1990) L853.
- Reid, Eur. J. of Appl. Math., 2 (1991) 293.
- Reid, Proc. ISSAC '92 (1992).

LPS method and computation:

- Hereman, CRC Handbook of Lie Group Analysis of Differential Equations, (1996) 367.

Previous work using LPS for field theories:

- Hereman, Marchildon & Grundland, Proc. XIX Intl. Colloq. Spain, (1992) 402.
- Marchildon, J. Group Theor. Phys., 3 (1995) 115.
- Marchildon, J. Nonlin. Math. Phys., 5 (1998) 68.

DPG, *A systematic approach to model building*, arXiv:1105.4604.

Symmetries of one scalar

Specialise to $N = 1$:

$$-d\gamma V + \frac{dV}{d\phi} (\alpha + \gamma\phi) = 0.$$

Four distinct cases:

$V = 0$: α and γ free. Independent shift and scale symmetries.
Rank associated with field is $R_\chi = (2)$.

$V = \text{const}$: $\gamma = 0$ but α is free.
Field rank $R_\chi = (1)$.

$V = \lambda(\phi + v)^d$: Solve above differential equation.
Given v , relationship between shift and scale symmetry is fixed by $v = \alpha/\gamma$.
Field rank $R_\chi = (1)$.

V arbitrary: $\alpha = \gamma = 0$. No shift or scale symmetry.
Field rank $R_\chi = (0)$.

Symmetries of two scalars

$$-d\gamma V + \frac{\partial V}{\partial \phi_1} (\alpha_1 + \beta \phi_2 + \gamma \phi_1) + \frac{\partial V}{\partial \phi_2} (\alpha_2 - \beta \phi_1 + \gamma \phi_2) = 0.$$

Go to polar field variables, $\phi_1 = r \cos \theta$, $\phi_2 = r \sin \theta$:

$$\mathcal{L} = \frac{1}{2} \partial^\mu r \partial_\mu r + r^2 \frac{1}{2} \partial^\mu \theta \partial_\mu \theta - V(r, \theta).$$

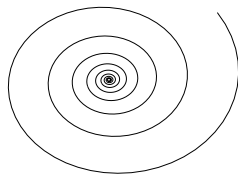
Determining equation is

$$-d\gamma V + \frac{\partial V}{\partial r} (\alpha_1 \cos \theta + \alpha_2 \sin \theta + \gamma r) - \frac{\partial V}{\partial \theta} \left(\alpha_1 \frac{\sin \theta}{r} - \alpha_2 \frac{\cos \theta}{r} + \beta \right) = 0.$$

A solution:

$$V(r, \theta) = \lambda \left(r^k - v e^{l\theta} \right)^m.$$

k and m related by $mk = d$. Relationship between scale and rotation symmetry fixed by $k\gamma = l\beta$. Action of the symmetry is $r \rightarrow e^\gamma r$, $\theta \rightarrow \theta - k\gamma/l$ and $x^\mu \rightarrow e^{-d\gamma/D} x^\mu$.



Non-linear symmetries

Field (no coordinate) symmetries of

$$\mathcal{L} = \phi^m (\partial^\mu \phi \partial_\mu \phi)^n .$$

m and $n \neq 0$ are constant exponents.

Determining equation

$$m\phi^{m-1}\chi + 2n\phi^m \frac{d\chi}{d\phi} = 0 .$$

Solve for χ :

$$\chi = a\phi^{-m/2n} \quad a \text{ is integration constant .}$$

Non-linear symmetry acts by $\bar{\phi}' = a\bar{\phi}^{-m/2n}$, solution

$$\phi \rightarrow (\phi^p + pa\epsilon)^{1/p} \quad \text{with} \quad p = 1 + m/2n .$$

The action versus the equations of motion

Distinction between the symmetries of action and symmetries of corresponding equations of motion.

G a symmetry of an action $\implies G$ also a symmetry of the Euler-Lagrange equations. Converse not necessarily true.

Denote the system by $\Delta_j(x^\mu, \phi_i, \partial\phi_i) = 0$.

- 1 Construct the prolonged symmetry operator $\text{pr}^{(k)} \alpha$.

$$\alpha = \eta^\mu \frac{\partial}{\partial x^\mu} + \chi_i \frac{\partial}{\partial \phi_i} .$$

Prolongation extends α to include all possible combinations of derivatives of ϕ , to order k .

- 2 Apply $\text{pr}^{(k)} \alpha$ to the system: $(\text{pr}^{(k)} \alpha \cdot \Delta)|_{\Delta=0} = 0$.
- 3 Equate all independent coefficients to zero \rightarrow determining equations.

Equations of motion example

System defined by Euler-Lagrange equation $\ddot{\phi} - \phi'' + m^2\phi = 0$.

What are its symmetries?

- $m = 0$ has

$$\eta^t(t, x) = F_+(t + x) + F_-(t - x),$$

$$\eta^x(t, x) = F_+(t + x) - F_-(t - x) + f,$$

$$\chi(t, x, \phi) = G_+(t + x) + G_-(t - x) + g\phi(t, x).$$

- $m \neq 0$ has

$$\eta^t(x) = a^t + bx,$$

$$\eta^x(t) = a^x + bt,$$

$$\chi(t, x, \phi) = \int_{-\infty}^{+\infty} dk \left[H_+(k) e^{i(\omega t + kx)} + H_-(k) e^{i(\omega t - kx)} \right] + g\phi(t, x),$$

where $\omega = \sqrt{k^2 + m^2}$.

$N = 244$ real degrees of freedom (with RH neutrinos):

- gauge = 4 real components \times (1 hyp + 3 weak + 8 strong) = 48,
- leptons = 8 real components \times 3 gens \times (ν + e) = 48,
- quarks = 8 real components \times 3 gens \times 3 cols \times (u + d) = 144,
- and Higgs = 2 real components \times weak-doublet = 4.