## Problem Set 4

Initial Conditions from Inflation

## Warmup Problem

(a) Describe $g_{\star}(T)$ given the particle content of the Standard Model.
(b) Why does the neutrino temperature scale as $T_{\nu} \propto a^{-1}$ after decoupling?
(c) Why is today's photon temperature larger than that of neutrinos?
(d) Why is the recombination temperature much lower than the ionization energy of hydrogen?

## 1. Chemical Potential for Electrons

(a) Show that the difference between the number densities of electrons and positrons in the relativistic limit ( $m_{e} \ll T$ ) is

$$
n_{e}-\bar{n}_{e} \approx \frac{g T^{3}}{6 \pi^{2}}\left[\pi^{2}\left(\frac{\mu_{e}}{T}\right)+\left(\frac{\mu_{e}}{T}\right)^{3}\right],
$$

where $\mu_{e}$ is the chemical potential.
Hint: You may use that

$$
\int_{0}^{\infty} \mathrm{d} y \frac{y}{e^{y}+1}=\frac{\pi^{2}}{12}
$$

(b) The electrical neutrality of the universe implies that the number of protons $n_{p}$ is equal to $n_{e}-\bar{n}_{e}$. Use this to estimate $\mu_{e} / T$.

## 2. Neutrinos

(a) Massive neutrinos:

Assume that one neutrino species has a non-zero mass $m_{\nu}$ which is much smaller than the neutrino decoupling temperature $T_{\text {dec }} \sim 1 \mathrm{MeV}$, so that they are relativistic when they decouple. Compute the temperature of the neutrinos relative to the cosmic microwave photons and hence estimate their number density. From their assumed mass $m_{\nu}$, show that the density the neutrinos contribute in the universe corresponds to

$$
\Omega_{\nu} h^{2} \approx \frac{m_{\nu}}{94 \mathrm{eV}} .
$$

(b) Extra neutrino species:

Suppose that there was a fourth generation of active neutrinos in addition to $\nu_{e}, \nu_{\mu}$, and $\nu_{\tau}$. (This possibility is excluded by the $Z$ lifetime, but you should still be able to do the problem.) Compute $g_{\star}$ prior to $e^{+} e^{-}$annihilation, and $g_{\star}$ after $e^{+} e^{-}$annihilation. What is the final neutron abundance in this case? What will this do to the final ${ }^{4} \mathrm{He}$ abundance?

## 3. Relic Baryon Density

Consider massive particles and antiparticles with mass $m$ and number densities $n(m, t)$ and $\bar{n}(m, t)$. If they interact with cross-section $\sigma$ at velocity $v$, explain why the evolution of $n(m, t)$ is described by

$$
\frac{\partial n}{\partial t}=-3 \frac{\dot{a}}{a} n-n \bar{n}\langle\sigma v\rangle+P(t),
$$

and identify the physical significance of each of the terms appearing in this equation.
(a) By considering the evolution of the antiparticles, show that

$$
(n-\bar{n}) a^{3}=\text { const } .
$$

(b) Assuming initial particle-antiparticle symmetry, show that

$$
\frac{1}{a^{3}} \frac{d\left(n a^{3}\right)}{d t}=-\langle\sigma v\rangle\left[n^{2}-n_{\mathrm{eq}}^{2}\right]
$$

where $n_{\text {eq }}$ denotes the equilibrium number density.
(c) Define $Y \equiv n / T^{3}$ and $x \equiv m / T$, and show that

$$
\frac{d Y}{d x}=-\frac{\lambda}{x^{2}}\left[Y^{2}-Y_{\mathrm{eq}}^{2}\right],
$$

where $\lambda \equiv m^{3}\langle\sigma v\rangle / H(T=m)$. If $\lambda$ is constant, show that at late times $Y$ approaches a value given by

$$
Y_{\infty}=\frac{x_{f}}{\lambda},
$$

where $x_{f}$ is the freeze-out time. Explain the dependence of $Y_{\infty}$ on $\langle\sigma v\rangle$ and sketch the schematic evolution of $Y$ versus $x$ for both a strongly and a weakly interacting population of annihilating particles and antiparticles. If there was a speed-up in the expansion rate of the universe caused by the addition of extra low-mass neutrino species what would happen to the abundance of surviving massive particles and why?

Now apply this to proton-antiproton annihilation. You may use that $\langle\sigma v\rangle \approx 100 \mathrm{GeV}^{-2}$.
(d) Show that $T_{f} \approx 20 \mathrm{MeV}$.
(e) Show that

$$
\frac{n}{n_{\gamma}}=\frac{\bar{n}}{n_{\gamma}}=10^{-19} .
$$

How does this compare with observational data? What do you conclude about the abundances of protons and antiprotons in the early universe?

## 4. Primordial Nucleosynthesis

(a) Write down an expression which shows the explicit dependence of the nucleon decoupling temperature $T_{d e c}$ (and so the neutron-proton ratio $X_{n} / X_{p}$ ) on the number of relativistic species $g_{\star}$.
(b) Discuss the effect of the following suppositions on the production of ${ }^{4} \mathrm{He}$ during primordial nucleosynthesis:

1. The baryon density today is larger than we estimate.
2. The weak interaction constant $G_{F}$ is smaller at nucleosynthesis than it is today.
3. Newton's constant $G$ is larger than supposed.
4. The neutron-proton mass difference was slightly larger than supposed.

## 5. Mukhanov-Sasaki

In the lectures, we ignored metric fluctuations in deriving the dynamics of the inflaton fluctuations $f \equiv a \delta \phi$ (in spatially flat gauge). If we had included the metric fluctuations, we would have found that the Mukhanov-Sasaki equations takes the form

$$
f_{k}^{\prime \prime}+\left(k^{2}-\frac{z^{\prime \prime}}{z}\right) f_{k}=0
$$

where $z^{2}=2 a^{2} \varepsilon$.
(a) Show that at first order in the slow-roll parameters,

$$
a H=-\frac{1}{\tau}(1+\varepsilon) \quad \text { and } \quad \frac{z^{\prime \prime}}{z}=\frac{\nu^{2}-\frac{1}{4}}{\tau^{2}}
$$

where $\nu \equiv \frac{3}{2}+\varepsilon+\frac{1}{2} \eta$.
(b) Show that the Bunch-Davies solution of $(\star)$ is

$$
f_{k}(\tau)=\frac{\sqrt{\pi}}{2}(-\tau)^{1 / 2} H_{\nu}^{(1)}(-k \tau)
$$

where $H_{\nu}^{(1)}$ is a Hankel function of the first kind. You may use that

$$
\lim _{k \tau \rightarrow-\infty} H_{\nu}^{(1,2)}(-k \tau)=\sqrt{\frac{2}{\pi}} \frac{1}{\sqrt{-k \tau}} e^{\mp i k \tau} e^{\mp i \frac{\pi}{2}\left(\nu+\frac{1}{2}\right)}
$$

(c) Derive the power spectrum of curvature perturbations on superhorizon scales

$$
\Delta_{\mathcal{R}}^{2}=\frac{1}{z^{2}} \Delta_{f}^{2}
$$

You may use that

$$
\lim _{k \tau \rightarrow 0} H_{\nu}^{(1)}(-k \tau)=\frac{i}{\pi} \Gamma(\nu)\left(\frac{-k \tau}{2}\right)^{-\nu}
$$

(d) Show that the scale-dependence of the scalar spectrum is

$$
n_{s}-1 \equiv \frac{d \ln \Delta_{\mathcal{R}}^{2}}{d \ln k}=-2 \varepsilon-\eta .
$$

Write the answer in terms of the potential slow-roll parameters $\epsilon_{\mathrm{v}}$ and $\eta_{\mathrm{v}}$.

## 6. The Higgs as an Inflaton?

The LHC has recently discovered a Higgs-like scalar particle. It is tempting to ask if the Higgs field could have been the scalar field that drove inflation.
(a) Let the potential of the Higgs boson be

$$
V(\phi)=\lambda\left(\phi^{2}-v^{2}\right)^{2}
$$

where $v=246 \mathrm{GeV}$. Sketch the potential and indicate the regions where slow-roll inflation might occur. Compute the slow-roll parameters $\epsilon_{\mathrm{v}} \equiv \frac{1}{2} M_{\mathrm{pl}}^{2}\left(V^{\prime} / V\right)^{2}$ and $\eta_{\mathrm{v}} \equiv M_{\mathrm{pl}}^{2} V^{\prime \prime} / V$.
(b) First, consider the region $0<\phi<v$.

Sketch $\epsilon_{\mathrm{v}}(\phi)$ and $\eta_{\mathrm{v}}(\phi)$ between $\phi=0$ and $\phi=v$. Is there a region in which both slow-roll conditions can be satisfied simultaneously?
(c) Now, look at the regime $\phi \gg v$.

Show that $\epsilon_{\mathrm{v}}(\phi)$ and $\eta_{\mathrm{v}}(\phi)$ become independent of $v$. For what field values does inflation occur? Determine the field values at the end of inflation $\left(\phi_{E}\right)$ and $N_{\star} \sim 60 e$-folds before $\left(\phi_{\star}\right)$. [You may assume that $\phi_{\star} \gg \phi_{E}$.]
Compute the amplitude of the power spectrum of scalar fluctuations at $\phi_{\star}$. Express your answer in terms of $N_{\star}$ and the Higgs boson mass $m_{H}$.
Estimate the value of $m_{H}$ required to match the observed scalar amplitude $\Delta_{s}^{2}=2 \times 10^{-9}$. Compare this to the announced mass of the Higgs boson, $m_{H}=125 \mathrm{GeV}$.
(d)* Recently, a new version of Higgs inflation has been proposed. Its key ingredient is a nonminimal coupling of the Higgs to gravity. The starting point is the following action

$$
S=\int \mathrm{d}^{4} x \sqrt{-g}\left[\frac{M_{\mathrm{pl}}^{2}}{2} f(\phi) R+\frac{1}{2}(\partial \phi)^{2}-\frac{\lambda}{4} \phi^{4}\right], \quad \text { where } \quad f(\phi) \equiv 1+\xi \frac{\phi^{2}}{M_{\mathrm{pl}}^{2}} .
$$

For $\xi=0$, this corresponds to the analysis in part (c). Now we want to study $\xi \gg 1$. It is convenient to define $\tilde{g}_{\mu \nu} \equiv f(\phi) g_{\mu \nu}$, so that the action becomes that of a standard slow-roll model

$$
S=\int \mathrm{d}^{4} x \sqrt{-\tilde{g}}\left[\frac{M_{\mathrm{pl}}^{2}}{2} \tilde{R}+\frac{1}{2}(\partial \Phi)^{2}-V(\Phi)\right]
$$

with potential

$$
V(\Phi) \approx \frac{\lambda M_{\mathrm{pl}}^{4}}{4 \xi^{2}}\left(1-2 \exp \left[-\sqrt{\frac{2}{3}} \frac{\Phi}{M_{\mathrm{pl}}}\right]\right), \quad \text { where } \quad \frac{\Phi}{M_{\mathrm{pl}}}=\sqrt{\frac{3}{2}} \ln (f(\phi))
$$

Perform a slow-roll analysis of this potential in the limit $\Phi \gg M_{\mathrm{pl}}$ :

- Show that the slow-roll parameters are

$$
\eta_{\mathrm{v}}=-\frac{4}{3} e^{-\sqrt{2 / 3} \Phi / M_{\mathrm{pl}}}, \quad \epsilon_{\mathrm{v}}=\frac{3}{4} \eta_{\mathrm{v}}^{2} .
$$

- Show that the scalar spectral index is

$$
n_{s}=1-\frac{2}{N_{\star}},
$$

and the tensor-to-scalar ratio is

$$
r=\frac{12}{N_{\star}^{2}} .
$$

How do these predictions compare to the Planck data?

- By considering the amplitude of scalar fluctuations, determine the required value of the non-minimal coupling $\xi$ for $\lambda=\mathcal{O}(1)$.


## 7. Tensors and the Lyth Bound

(a) Show that the tensor-to-scalar ratio predicted by slow-roll inflation is

$$
r \equiv \frac{\Delta_{t}^{2}}{\Delta_{s}^{2}}=\frac{8 \dot{\phi}^{2}}{M_{\mathrm{pl}}^{2} H^{2}} .
$$

(b) Show that the inflaton field travels a "distance" $\Delta \phi \equiv\left|\phi_{E}-\phi_{\star}\right|$ during (observable) inflation

$$
\frac{\Delta \phi}{M_{\mathrm{pl}}}=\frac{N_{\star}}{60} \sqrt{\frac{r}{0.002}},
$$

where $N_{\star}$ is the total number of $e$-folds between the time when the CMB scales exited the horizon and the end of inflation. [You may assume that $\varepsilon \approx$ const. during inflation] Comment on the implication of this result for observable gravitational waves. [Realistically, we require $r>0.001$ to have a fighting chance of detecting gravitational waves via CMB polarisation.]
(c) Derive the following relationship between the energy scale of inflation, $V^{1 / 4}$, and the tensor-to-scalar ratio,

$$
V^{1 / 4}=\left(\frac{3 \pi^{2}}{2} r \Delta_{s}^{2}\right)^{1 / 4} M_{\mathrm{pl}} .
$$

Use $\Delta_{s}^{2}=2.5 \times 10^{-9}$ to determine $V^{1 / 4}$ for $r=0.01$. How does that compare to the energy scales probed by the LHC?

