

On the least condition number of a basis of quadratic polynomials

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Condition number of a basis

For \underline{v} a basis of a n -dimensional normed space V , the ℓ_∞ -condition number of \underline{v} is:

$$\kappa_\infty(\underline{v}) := \sup_{\alpha \in \ell_\infty^n \setminus \{0\}} \frac{\|\sum_{i=1}^n \alpha_i v_i\|_V}{\|\alpha\|_\infty} \sup_{\alpha \in \ell_\infty^n \setminus \{0\}} \frac{\|\alpha\|_\infty}{\|\sum_{i=1}^n \alpha_i v_i\|_V}$$

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Particular interest in the ℓ_∞ -condition number of the B-spline basis of order k , $\kappa_\infty(\underline{B}_k)$, and in its supremum over all knot sequences, $\kappa_{\infty,k}$ (whose smallness insures the stability of numerical computations).

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$$\kappa_{\infty,k} < k2^k \text{ (Scherer, Shadrin, 99) and } \kappa_{\infty,k} \geq \frac{k-1}{k} 2^{k-\frac{3}{2}} \text{ (Lyche, 78)}$$

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Computations suggest that $\kappa_{\infty,k} \underset{k \rightarrow \infty}{\sim} 2^{k-\frac{3}{2}}$ (de Boor, 76).

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We will focus on $d(\mathcal{P}_2, \ell_\infty^3)$, with in mind its close connection with minimal projections onto \mathcal{P}_2 .

Projection constants

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If $X = C([-1, 1])$ is equipped with the supremum norm, the **interpolating projection constant of U** (with respect to $C([-1, 1])$) is:

$$p_{int}(U) := \inf\{\|P\|, P : C([-1, 1]) \rightarrow U \text{ interpolating projection}\} \geq 1$$

Fundamental inequalities: part 1

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Yes, if $n = 0$ and $n = 1$. For $n = 2$:

$$p(\mathcal{P}_2) \approx 1.220 \dots \text{ (Chalmers, Metcalf, 90) } \text{ and } p_{int}(\mathcal{P}_2) = \frac{5}{4} = 1.25$$

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We will prove: $\kappa_\infty(\mathcal{P}_2) \lesssim 1.248\dots < p_{int}(\mathcal{P}_2)$.

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Our basis of interest is $\underline{p}(b, c, d)$, the symmetric basis defined by:

$$p_1(x) := \frac{x(x+b)}{2d} \quad ; \quad p_2(x) := C - x^2 \quad ; \quad p_3(x) := \frac{x(x-b)}{2d}$$

with $b, c \in (0, 1]$, $d > 0$, and $C := c^2$.

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$$\|T\| = \max(g(x^*), g(1))$$

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Lemma. U and V be finite-dimensional normed spaces, $F \in L(U, V)$. There exists $u \in \text{Ex}(B_U)$, the set of extreme points of the unit ball of U , such that: $\|F(u)\| = \|F\|$

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□

Determining $\|T^{-1}\|$: next step

Lemma (Konheim, Rivlin, 66). A polynomial $p \in B_{\mathcal{P}_n}$, the unit ball of \mathcal{P}_n , is an extreme point of $B_{\mathcal{P}_n}$ if and only if it achieves the values -1 and 1 (counting multiplicity) at least $n + 1$ times.

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Consequently, $Ex(B_{\mathcal{P}_2})$ is the following one-parameter family:

$$Ex(B_{\mathcal{P}_2}) = \{\pm q_t, t \in [-1, 1]\} \cup \{-1, 1\}$$
$$\text{with } q_t := \min \left(\frac{2}{(1+t)^2}, \frac{2}{(1-t)^2} \right) (\bullet - t)^2 - 1$$

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With $h := \begin{pmatrix} h_1 \\ h_2 \\ h_3 \end{pmatrix} := T^{-1}(1)$, and for $t \in [-1, 1]$, $f(t) := \begin{pmatrix} f_1(t) \\ f_2(t) \\ f_3(t) \end{pmatrix} := T^{-1}(q_t)$ (ie $1 = \sum h_i p_i$, $q_t = \sum f_i(t) p_i$):

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For our basis of interest:

$$\|T^{-1}\| = \frac{1}{C} \max(1, d, d\lambda) \quad , \text{ with } \lambda := \lambda(b, C) := \frac{(b+C)^2 + C(C-b^2)}{(b+C)^2 - C(C-b^2)}$$

Minimizing $\kappa_\infty(\underline{p}(b, c, d))$

We need to minimize, for $b \leq c$:

$$\kappa_\infty \left(\underline{p} \left(b, c, \frac{1}{\lambda} \right) \right) = \max \left(\underbrace{\frac{\lambda + 1 - C}{C}}_{=: F(b, C)}, \underbrace{\frac{\frac{b^2 \lambda^2}{4} + C}{C}}_{=: G(b, C)} \right)$$

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$F(b, C)$ decreases with b , whereas $G(b, C)$ increases with b . Thus, for each C (in $\left[\frac{8}{9}, 1\right]$), there exists a unique $b^*(C) \in [0, c]$ such that:

$$F(b^*(C), C) = G(b^*(C), C) =: H(C)$$

.

Minimizing $\kappa_\infty(\underline{p}(b, c, d))$ (continued)

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We now need to minimize $H(C)$ over $C \in \left[\frac{8}{9}, 1\right]$, which reduces to the resolution of the following (polynomial) system with unknown (b, C) :

$$\begin{cases} F(b, C) - G(b, C) &= 0 \\ \left[\frac{\partial F}{\partial b} \frac{\partial G}{\partial C} - \frac{\partial F}{\partial C} \frac{\partial G}{\partial b} \right] (b, C) &= 0 \end{cases}$$

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A numerical resolution, using Maple, provides us with the solution:

$$b = 0.867\dots, C = 0.940\dots$$

giving the following value of the ℓ_∞ -condition number:

$$F(b, C) = 1.248\dots$$

Concluding questions

To a (symmetric) basis with ℓ_∞ -condition number less than $\frac{5}{4}$, we can associate, theoretically, a (symmetric?) projection from $C([-1, 1])$ onto \mathcal{P}_2 with norm less than $\frac{5}{4}$,

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In the affirmative, is it of the type we have considered? If yes, we would have found the Banach-Mazur distance between \mathcal{P}_2 and ℓ_∞^3 ,

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Is a (the?) basis of \mathcal{P}_2 which minimizes the ℓ_∞ -condition number symmetric?

In the affirmative, is it of the type we have considered? If yes, we would have found the Banach-Mazur distance between \mathcal{P}_2 and ℓ_∞^3 , and none of the inequalities considered at the beginning would be an equality.